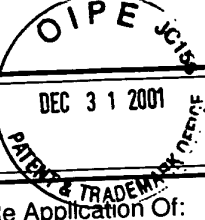


DEC 31 2001

**TRANSMITTAL LETTER**  
(General - Patent Pending)Docket No.  
11686A

In Re Application Of: Suzanne Cory, et al.

Serial No.  
09/925,674Filing Date  
August 9, 2001Examiner  
UnassignedGroup Art Unit  
UnassignedTitle: NOVEL MAMMALIAN GENE, BCL-W, BELONGS TO THE BCL-2 FAMILY OF APOPTOSIS-  
CONTROLLING GENESTO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Response to Notice to Comply under 37 C.F.R. 1.821; Copy of Notice to Comply; Substitute Paper Copy of Sequence Listing; Substitute Computer Readable Form of Sequence Listing on Disk and Statement Under 37 C.F.R. 1.821(f).

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: November 15, 2001

Frank S. DiGiglio  
Registration No. 31,346  
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

CC:

I certify that this document and fee is being deposited  
on November 15, 2001 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant(s):</b>	Suzanne Cory, et al.	<b>Examiner:</b>	To Be Assigned
<b>Serial No:</b>	09/925,674	<b>Art Unit:</b>	To Be Assigned
<b>Filed:</b>	August 9, 2001	<b>Docket:</b>	11686a
<b>For:</b>	NOVEL MAMMALIAN GENE, BCL-W, BELONGS TO THE BCL-2 FAMILY OF APOPTOSIS-CONTROLLING GENES		
		<b>Dated:</b>	November 15, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

**Response to Notice to Comply under 37 C.F.R. §1.821**

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated October 23, 2001 and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith a substitute paper and substitute computer readable copy of the Sequence Listing, along with a Statement Under 37 C.F.R. §1.821(f), stating that these copies are identical. A copy of the Notice to Comply is also enclosed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

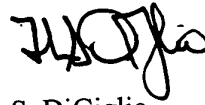
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on November 15, 2001.

Dated: November 15, 2001

  
Michelle Mustafa

Applicants respectfully submit that the content of the substitute paper and substitute computer readable copy of the sequence listing does not introduce new matter.

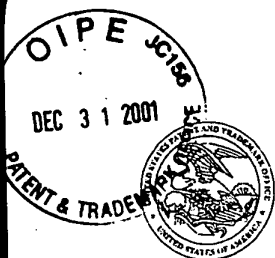
Respectfully submitted,



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FSD/XZ:ab



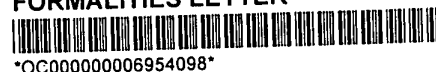
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,674	08/09/2001	Suzanne Cory	11686A

CONFIRMATION NO. 3390

## FORMALITIES LETTER



\*OC000000006954098\*

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, NY 11530

Date Mailed: 10/23/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE